SEP 0 7 2005

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Patent Case No.: 56390US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

CHIU, RAYMOND C.

Application No.:

09/975385

Group Art Unit:

1731

Filed:

October 9, 2001

Examiner:

Carlos Lopez

Title:

METHOD FOR FORMING MICROSTRUCTURES ON A SUBSTRACE USING A

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents . P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

Date

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Telephone No.: 651-575-3915

Office of Intellectual Property Counsel 3M Innovative Properties Company Pacsimile No.: 651-736-3833

4-6-05

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number	09/975385	
Filing Date	October 9, 2001	
First Named Inventor	Chiu, Raymond C.	
Art Unit	1731	
Examiner Name	Lopez, Carlos	
Attorney Case Number	56390US002	
Audiney Case Number	0039003002	

	U.S. Patent Documents						
	Cité	Document Number	Publication Date or Issue Date	Name of Patentiee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Pessages or Relevent Figurea Appear		
	No.	Dac. Number-(Kind Code if Known)	MM-DD-YYYY				
•	A1	US- 6140759	10-31-2000	Sreeram et al.			
	A2	US-	-	,			
	A3	US-					
	A4	US-					
	A 5	US-					
	A6	US-					
	A7	US-					
	A8	US-					
	A9	US-					
	A10	US-			• .		
	A11	US-					

	Foreign Patent Documents						
Exam. Init,*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
		Ctry. Code	Number-KindCode (# known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1				•		
	B2						
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	B5						
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OTHER DOCUMENTS				
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Date Considered:

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